

WEAK EQUALS STRONG L^2 REGULARITY FOR PARTIAL TANGENTIAL TRACES ON LIPSCHITZ DOMAINS

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ABSTRACT. We investigate the boundary trace operators that naturally correspond to $H(\text{curl}, \Omega)$, namely the tangential and twisted tangential trace, where $\Omega \subseteq \mathbb{R}^3$. In particular we regard partial tangential traces, i.e., we look only on a subset Γ of the boundary $\partial\Omega$. We assume both Ω and Γ to be strongly Lipschitz. We define the space of all $H(\text{curl}, \Omega)$ fields that possess a L^2 tangential trace in a weak sense and show that the set of all smooth fields is dense in that space, which is a generalization of [BBBCD97]. This is especially important for Maxwell's equation with mixed boundary condition as we answer the open problem by Weiss and Staffans in [WS13, Sec. 5] for strongly Lipschitz pairs.

1. INTRODUCTION

We will regard a bounded strongly Lipschitz domain $\Omega \subseteq \mathbb{R}^3$ and the Sobolev space that corresponds to the curl operator

$$H(\text{curl}, \Omega) = \{f \in L^2(\Omega) \mid \text{curl } f \in L^2(\Omega)\}$$

and the “natural” boundary traces that are associated with the curl operator

$$\pi_\tau f := \nu \times f|_{\partial\Omega} \times \nu \quad \text{and} \quad \gamma_\tau f := \nu \times f|_{\partial\Omega} \quad \text{for } f \in C^\infty(\mathbb{R}^3),$$

where ν denotes the outer normal vector on the boundary of Ω . These boundary traces are called *tangential trace* and *twisted tangential trace*, respectively. They are motivated by the integration by parts formula

$$\langle \text{curl } f, g \rangle_{L^2(\Omega)} - \langle f, \text{curl } g \rangle_{L^2(\Omega)} = \langle \gamma_\tau f, \pi_\tau g \rangle_{L^2(\partial\Omega)}.$$

We can even extend these boundary operators to $H(\text{curl}, \Omega)$ by introducing suitable boundary spaces, see e.g., [BCS02] for full boundary traces or [Skr21] for partial boundary traces. However, in this article we focus on those $f \in H(\text{curl}, \Omega)$ that have a meaningful $L^2(\partial\Omega)$ (twisted) tangential trace. Hence, for $\Gamma \subseteq \partial\Omega$ we are interested in the following spaces

$$\begin{aligned} \mathring{H}_\Gamma(\text{curl}, \Omega) &= \{f \in H(\text{curl}, \Omega) \mid \pi_\tau f = 0 \text{ on } \Gamma\}, \\ \hat{H}_\Gamma(\text{curl}, \Omega) &= \{f \in H(\text{curl}, \Omega) \mid \pi_\tau f \text{ is in } L^2(\Gamma)\}. \end{aligned}$$

where we will later state precisely what we mean by $\pi_\tau f = 0$ on Γ and $\pi_\tau f \in L^2(\Gamma)$. In particular we are interested in $\hat{H}_\Gamma(\text{curl}, \Omega)$. Similar to Sobolev spaces there are two approaches to $\pi_\tau f \in L^2(\Gamma)$: A weak approach by representation in an inner product and a strong approach by limits of regular functions. We use the weak approach as definition, see Definition 4.1. The question that immediately arises is: “Do both approaches lead to the same space?”

In [WS13, eq. (5.20)] the authors observed this problem and concluded that it can cause ambiguity for boundary conditions, if the approaches don't coincide. In

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fact they stated this issue at the end of section 5 in [WS13] as an open problem. This problem can actually be viewed as a more general question that arises for quasi Gelfand triples, see [Skr23b, Conjecture 6.7].

We will not explicitly define the strong approach, but show that the most regular functions (C^∞ functions) are already dense in the weakly defined space, which immediately implies that any strong approach with less regular functions (e.g., H^1) will lead to the same space. This is exactly what was done in [BBBCD97] for $\Gamma = \partial\Omega$. Hence, we present a generalization of [BBBCD97] for partial L^2 tangential traces. In particular, we aim to prove the following two main theorems.

Theorem 1.1. *Let Ω be a bounded strongly Lipschitz domain and $\Gamma_1 \subseteq \partial\Omega$ such that (Ω, Γ_1) is a strongly Lipschitz pair, then $\dot{C}^\infty(\mathbb{R}^3)$ is dense in $\hat{H}_{\Gamma_1}(\text{curl}, \Omega)$ with respect to $\|\cdot\|_{\hat{H}_{\Gamma_1}(\text{curl}, \Omega)}$.*

Theorem 1.2. *Let Ω be a bounded strongly Lipschitz domain and $\Gamma_0 \subseteq \partial\Omega$ such that (Ω, Γ_0) is a strongly Lipschitz pair, then $\dot{C}^\infty_{\Gamma_0}(\mathbb{R}^3)$ is dense in $\hat{H}_{\partial\Omega}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$ with respect to $\|\cdot\|_{\hat{H}_{\partial\Omega}(\text{curl}, \Omega)}$.*

However, it turned out that it is best to prove them in reversed order.

The importance of our density results lies in the context of Maxwell's equations with boundary conditions that involve a mixture of π_τ and γ_τ in the sense of linear combination, e.g., this simplified instance of Maxwell's equations

$$\begin{aligned} \partial_t E(t, \zeta) &= \text{curl } H(t, \zeta), & t \geq 0, \zeta \in \Omega, \\ \partial_t H(t, \zeta) &= -\text{curl } E(t, \zeta), & t \geq 0, \zeta \in \Omega, \\ \pi_\tau E(t, \xi) + \gamma_\tau H(t, \xi) &= 0, & t \geq 0, \xi \in \Gamma_1, \\ \pi_\tau E(t, \xi) &= 0, & t \geq 0, \xi \in \Gamma_0. \end{aligned}$$

In order to properly formulate the boundary conditions we need to know what functions E, H have tangential traces that allow such a linear combination. Especially when it comes to well-posedness our density results are needed to avoid the ambiguity that was observed in [WS13].

As suspected by Weiss and Staffans in [WS13] the regularity of the interface of $\Gamma_0 \subseteq \partial\Omega$ and $\Gamma_1 := \partial\Omega \setminus \overline{\Gamma_0}$ seems to play a role. At least for our answer we need that the boundary of Γ_0 is also strongly Lipschitz.

In particular our strategy is based on the following decomposition from [PS22a, Thm. 5.2]

$$\hat{H}_{\Gamma_0}(\text{curl}, \Omega) = \hat{H}_{\Gamma_0}^1(\Omega) + \nabla \hat{H}_{\Gamma_0}^1(\Omega), \quad (1)$$

which requires (Ω, Γ_0) to be a strongly Lipschitz pair. Every element of $\hat{H}_{\Gamma_0}^1(\Omega)$ can be approximated by a sequence in $\dot{C}^\infty_{\Gamma_0}(\mathbb{R}^3)$ w.r.t. $\|\cdot\|_{H^1(\Omega)}$ (see [BPS16, Lmm. 3.1]), which is a stronger norm than the “natural” norm of $\hat{H}_{\partial\Omega}(\text{curl}, \Omega)$. Hence, the challenging part will be finding an approximation by $\dot{C}^\infty_{\Gamma_0}(\mathbb{R}^3)$ elements for all elements in

$$\hat{H}_{\partial\Omega}(\text{curl}, \Omega) \cap \nabla \hat{H}_{\Gamma_0}^1(\Omega).$$

It even turned out that, if we can prove the decomposition (1) also for less regular Γ_0 , then our main theorems would automatically generalize for those less regular partitions of $\partial\Omega$, since this is the only occasion where the regularity of Γ_0 is used.

2. PRELIMINARY

For $\Omega \subseteq \mathbb{R}^d$ open and $\Gamma \subseteq \partial\Omega$ open we use the following notation (as in [BPS16])

$$\begin{aligned}\mathring{C}^\infty(\Omega) &:= \{f \in C^\infty(\Omega) \mid \text{supp } f \text{ is compact in } \Omega\}, \\ \mathring{C}_\Gamma^\infty(\Omega) &:= \left\{f|_\Omega \mid f \in \mathring{C}^\infty(\mathbb{R}^d), \text{dist}(\Gamma, \text{supp } f) > 0\right\},\end{aligned}$$

and $H^1(\Omega)$ denotes the usual Sobolev space and $\mathring{H}_\Gamma^1(\Omega)$ is the subspace of $H^1(\Omega)$ with homogeneous boundary data on Γ , i.e., $\mathring{H}_\Gamma^1(\Omega) = \overline{\mathring{C}_\Gamma^\infty(\Omega)}^{H^1(\Omega)}$.

Note that the trace operators π_τ and γ_τ are called tangential traces, because $\nu \cdot \pi_\tau f = 0$ and $\nu \cdot \gamma_\tau f = 0$. Hence, it is natural to introduce the *tangential* L^2 space on $\Gamma \subseteq \partial\Omega$ by

$$L_\tau^2(\Gamma) = \{f \in L^2(\Gamma) \mid \nu \cdot f = 0\}.$$

This space is again a Hilbert space with the $L^2(\Gamma)$ inner product. Moreover, both $\pi_\tau \mathring{C}_{\partial\Omega \setminus \Gamma}^\infty(\mathbb{R}^3)$ and $\gamma_\tau \mathring{C}_{\partial\Omega \setminus \Gamma}^\infty(\mathbb{R}^3)$ are dense in that space.

Next we recall the definition of a strongly Lipschitz domain, see e.g., [Gri85]. Moreover, we need H^1 spaces on strongly Lipschitz boundaries, see e.g., [Skr23a] for a careful treatment.

Definition 2.1. Let Ω be an open subset of \mathbb{R}^d . We say Ω is a *strongly Lipschitz domain*, if for every $p \in \partial\Omega$ there exist $\epsilon, h > 0$, a hyperplane $W = \text{span}\{w_1, \dots, w_{d-1}\}$, where $\{w_1, \dots, w_{d-1}\}$ is an orthonormal basis of W , and a Lipschitz continuous function $a: (p + W) \cap B_\epsilon(p) \rightarrow (-\frac{h}{2}, \frac{h}{2})$ such that

$$\begin{aligned}\partial\Omega \cap C_{\epsilon,h}(p) &= \{x + a(x)v \mid x \in (p + W) \cap B_\epsilon(p)\}, \\ \Omega \cap C_{\epsilon,h}(p) &= \{x + sv \mid x \in (p + W) \cap B_\epsilon(p), -h < s < a(x)\},\end{aligned}$$

where v is the normal vector of W and $C_{\epsilon,h}(p)$ is the cylinder $\{x + \delta v \mid x \in (p + W) \cap B_\epsilon(p), \delta \in (-h, h)\}$.

The boundary $\partial\Omega$ is then called *strongly Lipschitz boundary*.

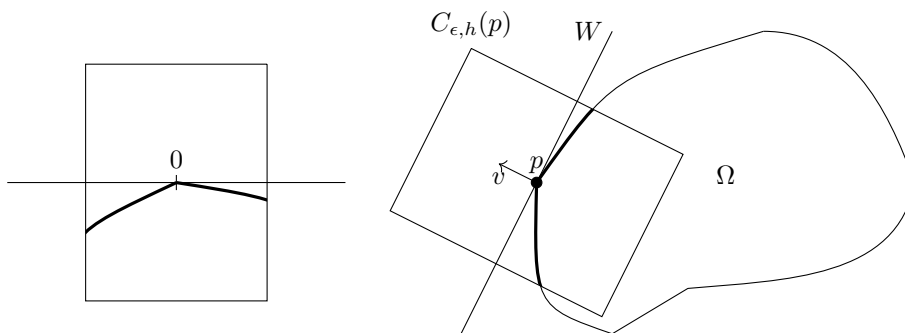


FIGURE 1. Lipschitz boundary

Corresponding to a strongly Lipschitz domain we define the following bi-Lipschitz continuous mapping

$$k: \begin{cases} \partial\Omega \cap C_{\epsilon,h}(p) & \rightarrow B_\epsilon(0) \subseteq \mathbb{R}^{d-1}, \\ \zeta & \mapsto W^T(\zeta - p), \end{cases}$$

where we used W as the matrix $[w_1 \dots w_{d-1}]$. We call this mapping a *regular Lipschitz chart* of $\partial\Omega$ and we call its domain the *chart domain*. Its inverse is given

by

$$k^{-1}: \begin{cases} B_\epsilon(0) \subseteq \mathbb{R}^{d-1} & \rightarrow \partial\Omega \cap C_{\epsilon,h}(p), \\ x & \mapsto p + Wx + a(x)v, \end{cases}$$

where we will use $a(x)$ also as shortcut for $a(p + Wx)$, which is then a Lipschitz continuous function from $B_\epsilon(0) \subseteq \mathbb{R}^{d-1}$ to \mathbb{R} . Charts are used to regard the surface of Ω locally as a flat subset of \mathbb{R}^{d-1} . Every restriction of a chart k to an open $\Gamma \subseteq \partial\Omega$ is again a chart. The shape of $k(\Gamma)$, which is the image of the restricted chart, can be less “regular” than the nice shape of the ball $B_\epsilon(0)$, which was the original image. Hence, for some investigations such restricted charts are not suitable. Therefore, we call such a restricted chart in general just *Lipschitz chart* in contrast to regular Lipschitz charts.

Definition 2.2. Let Ω be a strongly Lipschitz domain in \mathbb{R}^d . Then we say that an open $\Gamma_0 \subseteq \partial\Omega$ is strongly Lipschitz, if $k(\Gamma_0)$ is strongly Lipschitz domain in \mathbb{R}^{d-1} for all regular Lipschitz charts k of $\partial\Omega$.

The boundary $\partial\Gamma_0$ is then called *strongly Lipschitz boundary*.

Note that it is sufficient that the image of Γ_0 under k (in the previous definition) is strongly Lipschitz for a set of regular Lipschitz charts, whose chart domains cover Γ_0 (or even just $\partial\Gamma_0$).

Definition 2.3. We call (Ω, Γ_0) a *strongly Lipschitz pair*, if Ω is a strongly Lipschitz domain and $\Gamma_0 \subseteq \partial\Omega$ is strongly Lipschitz.

Note that if $\Gamma_0 \subseteq \partial\Omega$ is strongly Lipschitz, then also $\Gamma_1 := \partial\Omega \setminus \overline{\Gamma_0}$ is strongly Lipschitz. Hence, if (Ω, Γ_0) is a strongly Lipschitz pair, then also (Ω, Γ_1) is.

Since we only deal with strongly Lipschitz domains and boundaries, we will omit the term “strongly” and just say *Lipschitz domain* and *Lipschitz boundary*.

Recall the definition of a H^1 function on the boundary of a Lipschitz domain, see e.g., [Skr23a].

Definition 2.4. Let $\Omega \subseteq \mathbb{R}^d$ be a Lipschitz domain. We say $f \in L^2(\partial\Omega)$ is in $H^1(\partial\Omega)$, if for every Lipschitz chart $k: \Gamma \rightarrow U$ the mapping

$$f \circ k^{-1} \text{ is in } H^1(U).$$

3. DENSITY RESULTS FOR $W(\Omega)$

Definition 3.1. Let $\Omega \subseteq \mathbb{R}^d$ be a Lipschitz domain. Then we define

$$W(\Omega) := \{f \in H^1(\Omega) \mid \gamma_0 f \in H^1(\partial\Omega)\},$$

$$\|f\|_{W(\Omega)} := \left(\|f\|_{H^1(\Omega)}^2 + \|\gamma_0 f\|_{H^1(\partial\Omega)}^2 \right)^{1/2}.$$

The next lemma is a crucial tool in our construction. The basic idea is: Take a smooth function with compact support on a flat domain ($U \subseteq \mathbb{R}^{d-1}$) extend it on the entire hyperplane \mathbb{R}^{d-1} by 0, and then extend it constantly in the orthogonal direction, i.e., $f(\zeta + \lambda e_d) = f(\zeta)$, where $\lambda \in \mathbb{R}$ and e_d is the d -th unit vector. A multiplication with a cutoff function makes sure that this extension has compact support. By rotation and translation this can be done for arbitrary hyperplanes. Figure 2 illustrates the construction.

Lemma 3.2. Let $k: \Gamma \rightarrow U$ be a Lipschitz chart, $f \in H^1(\partial\Omega)$ with compact support in $\Gamma' \subseteq \Gamma$. Then there exists an $F \in H^1(\mathbb{R}^d) \cap W(\Omega) \cap \dot{H}_{\partial\Omega \setminus \Gamma'}^1(\Omega)$ such that $F|_{\partial\Omega} = f$. Moreover, there exists a sequence $(F_n)_{n \in \mathbb{N}}$ in $\dot{C}_{\partial\Omega \setminus \Gamma'}^\infty(\mathbb{R}^d)$ that converges to F w.r.t. $\|\cdot\|_{H^1(\mathbb{R}^d)} + \|\cdot\|_{W(\Omega)}$, i.e., F_n converges to F in $H^1(\mathbb{R}^d)$ and $F_n|_{\partial\Omega}$ converges to $F|_{\partial\Omega}$ in $H^1(\partial\Omega)$.

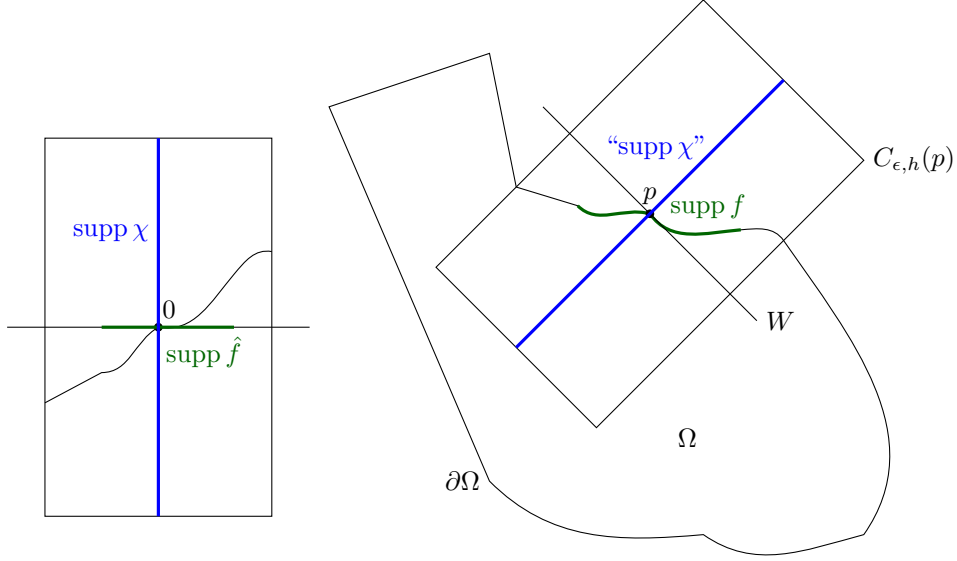


FIGURE 2. Illustration of the construction of Lemma 3.2

Proof. Let p , W and v be the point, hyperplane and normal vector, respectively, to the chart k . In particular k^{-1} is given by

$$k^{-1}: \begin{cases} U \subseteq \mathbb{R}^{d-1} & \rightarrow \Gamma, \\ x & \mapsto p + Wx + a(x)v, \end{cases}$$

where U is open and a is the Lipschitz function. Let $\chi \in \dot{C}^\infty(\mathbb{R})$ be a cut-off function such that

$$\chi(\lambda) \in \begin{cases} \{1\}, & |\lambda| < 3/2\|a\|_\infty, \\ [0, 1], & |\lambda| \in (3/2, 2)\|a\|_\infty, \\ \{0\}, & |\lambda| > 2\|a\|_\infty. \end{cases}$$

By definition $\hat{f} = f \circ k^{-1}$ is in $H^1(U)$ and since f has compact support in Γ' we conclude $\hat{f} \in \dot{H}^1(U)$ with support in $U' := k(\Gamma')$. Note that we can extend $\hat{f} \in \dot{H}^1(U)$ on \mathbb{R}^d by 0. We define

$$F(\zeta) = \chi(v \cdot (\zeta - p)) \hat{f}(W^T(\zeta - p)) \quad \text{for } \zeta \in \mathbb{R}^d$$

The support of F is inside of a rotated and translated version of $U' \times \text{supp } \chi$, in particular

$$\text{supp } F \subseteq p + [W \quad v] U' \times \text{supp } \chi =: \Xi.$$

Note that by construction of χ we have $\text{supp } F \cap \partial\Omega \subseteq \Gamma'$, therefore $F|_{\partial\Omega \setminus \Gamma'} = 0$. Since $\hat{f} \in H^1(\mathbb{R}^{d-1})$ it is straight forward that F possess $L^2(\mathbb{R}^d)$ directional derivatives in W directions. Moreover, by construction (and the Leibniz product rule) $\frac{\partial}{\partial v} F = \chi' \hat{f}(W^T(\cdot - p))$, which implies $F \in H^1(\mathbb{R}^d)$. By definition of a Lipschitz chart we have $|v \cdot (\zeta - p)| \leq \|a\|_\infty$ for $\zeta \in \Gamma$ and hence

$$F(\zeta) = \underbrace{\chi(v \cdot (\zeta - p))}_{=1} \hat{f}(W^T(\zeta - p)) = \hat{f} \circ k(\zeta) = f(\zeta) \quad \text{for } \zeta \in \Gamma$$

(a.e. w.r.t. the surface measure).

By assumption on \hat{f} there exists a sequence $(\hat{f}_n)_{n \in \mathbb{N}}$ in $\mathring{C}^\infty(U)$ that converges to \hat{f} w.r.t. $\|\cdot\|_{\mathbb{H}^1(U)}$. Note that \hat{f}_n is also in $\mathring{C}^\infty(\mathbb{R}^{d-1})$. We define

$$F_n(\zeta) = \chi(v \cdot (\zeta - p)) \hat{f}_n(W^\top(\zeta - p)) \quad \text{for } \zeta \in \mathbb{R}^d$$

Note that F_n is the composition of C^∞ mappings and therefore in $C^\infty(\mathbb{R}^d)$. Again, the support of F_n is contained in the bounded set Ξ and therefore compact, which implies $F_n \in \mathring{C}^\infty(\mathbb{R}^d)$. Note that $F_n \circ k^{-1} = \hat{f}_n$, which implies $(F_n \circ k^{-1})_{n \in \mathbb{N}}$ converges to \hat{f} w.r.t. $\|\cdot\|_{\mathbb{H}^1(U)}$. Since $F_n|_{\partial\Omega \setminus \Gamma} = 0 = F|_{\partial\Omega \setminus \Gamma}$ we conclude $F_n|_{\partial\Omega} \rightarrow F|_{\partial\Omega}$ in $\mathbb{H}^1(\partial\Omega)$. Finally,

$$\begin{aligned} \|F_n - F\|_{\mathbb{H}^1(\mathbb{R}^3)} &\leq \|\chi'\|_\infty \|(\hat{f}_n - \hat{f})(W^\top(\cdot - p))\|_{\mathbb{H}^1(\Xi)} \\ &\leq 2\|a\|_\infty \|\chi'\|_\infty \|\hat{f}_n - \hat{f}\|_{\mathbb{H}^1(U)} \rightarrow 0. \quad \square \end{aligned}$$

We will formulate a generalization of [BBBCD97, 2. Preliminaries].

Theorem 3.3. $\mathring{C}_\Gamma^\infty(\mathbb{R}^d)$ is dense in $W(\Omega) \cap \mathring{H}_\Gamma^1(\Omega)$ w.r.t. $\|\cdot\|_{W(\Omega)}$.

Proof. Since Ω is a Lipschitz domain we find for every $p \in \partial\Omega$ a cylinder $C_{\epsilon, h}(p)$ (ϵ and h depend on p) and a Lipschitz chart $k: \partial\Omega \cap C_{\epsilon, h}(p) \rightarrow B_\epsilon(0) \subseteq \mathbb{R}^{d-1}$.

Hence we can cover $\partial\Omega$ by $\bigcup_{p \in \partial\Omega} C_{\epsilon, h}(p)$ and by compactness of $\partial\Omega$ there are already finitely many $p_i, i \in \{1, \dots, m\}$ such that

$$\partial\Omega \subseteq \bigcup_{i=1}^m \underbrace{C_{\epsilon_i, h_i}(p_i)}_{=: \Omega_i}$$

We employ a partition of unity and obtain $(\alpha_i)_{i=1}^m$, subordinate to this cover, i.e.,

$$\alpha_i \in \mathring{C}^\infty(\Omega_i), \quad \alpha_i(\zeta) \in [0, 1], \quad \text{and} \quad \sum_{i=1}^m \alpha_i(\zeta) = 1 \quad \text{for all } \zeta \in \partial\Omega.$$

For $f \in W(\Omega) \cap \mathring{H}_\Gamma^1(\Omega)$ we define $f_i := \alpha_i f$. It is straightforward to show $f_i \in W(\Omega) \cap \mathring{H}_\Gamma^1(\Omega)$. For every Ω_i there is a Lipschitz chart $k_i: \Gamma_i \rightarrow U_i \subseteq \mathbb{R}^{d-1}$, where $\Gamma_i = \partial\Omega \cap \Omega_i$. Moreover, $f_i|_{\partial\Omega}$ has support in $\Gamma_i \cap \Gamma^c$, where $\Gamma^c = (\partial\Omega \setminus \Gamma)$.

By Lemma 3.2 there is an $F_i \in \mathbb{H}^1(\mathbb{R}^d) \cap W(\Omega) \cap \mathring{H}_{\partial\Omega \setminus (\Gamma_i \cap \Gamma^c)}^1$ such that $F_i|_{\partial\Omega} = f_i|_{\partial\Omega}$ and a sequence $(F_{i,n})_{n \in \mathbb{N}}$ in $\mathring{C}_{\partial\Omega \setminus (\Gamma_i \cap \Gamma^c)}^\infty(\mathbb{R}^d) \subseteq \mathring{C}_\Gamma^\infty(\mathbb{R}^d)$ that converges to F_i in $\mathbb{H}(\mathbb{R}^d)$ and in $W(\Omega)$. Hence, we have

$$f - \sum_{i=1}^m F_i \in \mathring{H}^1(\Omega),$$

which can be approximated by $(F_{0,n})_{n \in \mathbb{N}}$ in $\mathring{C}^\infty(\Omega)$. Hence, $(\sum_{i=0}^m F_{i,n})_{n \in \mathbb{N}}$ is a sequence in $\mathring{C}_\Gamma^\infty(\mathbb{R}^d)$ and converges to f in $W(\Omega)$. \square

4. DENSITY RESULT WITH HOMOGENEOUS PART

In this section we will finally define the Sobolev spaces with homogeneous and L^2 partial tangential traces, respectively, and prove one of our main theorems. We assume $\Omega \subseteq \mathbb{R}^3$ to be a Lipschitz domain.

We will use a weak definition for the tangential trace and twisted tangential trace as, e.g., in [PS22b].

Definition 4.1. Let Ω be a Lipschitz domain and $\Gamma \subseteq \partial\Omega$ open (in $\partial\Omega$).

- We say $f \in \mathbf{H}(\text{curl}, \Omega)$ has a $L^2_\tau(\Gamma)$ tangential trace, if there exists a $q \in L^2_\tau(\Gamma)$ such that

$$\langle f, \text{curl } \phi \rangle_{L^2(\Omega)} - \langle \text{curl } f, \phi \rangle_{L^2(\Omega)} = \langle q, \gamma_\tau \phi \rangle_{L^2_\tau(\Gamma)} \quad \forall \phi \in \mathring{C}^\infty_{\partial\Omega \setminus \Gamma}(\mathbb{R}^3).$$

In this case we say $\pi_\tau f \in L^2_\tau(\Gamma)$ and $\pi_\tau f = q$ on Γ or more precisely $\pi_\tau^\Gamma f = q$.

- We say $f \in \mathbf{H}(\text{curl}, \Omega)$ has a $L^2_\tau(\Gamma)$ twisted tangential trace, if there exists a $q \in L^2_\tau(\Gamma)$ such that

$$\langle \text{curl } f, \phi \rangle_{L^2(\Omega)} - \langle f, \text{curl } \phi \rangle_{L^2(\Omega)} = \langle q, \pi_\tau \phi \rangle_{L^2_\tau(\Gamma)} \quad \forall \phi \in \mathring{C}^\infty_{\partial\Omega \setminus \Gamma}(\mathbb{R}^3).$$

In this case we say $\gamma_\tau f \in L^2_\tau(\Gamma)$ and $\gamma_\tau f = q$ on Γ or more precisely $\gamma_\tau^\Gamma f = q$.

Note that the previous definition does not say anything about $\pi_\tau f$ on $\partial\Omega \setminus \Gamma$.

Remark 4.2. Note that $\nu \times \gamma_\tau \phi = -\pi_\tau \phi$ and $\langle q, \gamma_\tau \phi \rangle_{L^2_\tau(\Gamma)} = \langle \nu \times q, \nu \times \gamma_\tau \phi \rangle_{L^2_\tau(\Gamma)}$. Hence, we can easily see that $\pi_\tau f \in L^2(\Gamma)$ is equivalent to $\gamma_\tau f \in L^2(\Gamma)$ and $\gamma_\tau f = \nu \times \pi_\tau f$.

Definition 4.3. Let Ω be a Lipschitz domain and $\Gamma \subseteq \partial\Omega$ open (in $\partial\Omega$). Then we define the space

$$\hat{\mathbf{H}}_\Gamma(\text{curl}, \Omega) := \{f \in \mathbf{H}(\text{curl}, \Omega) \mid \pi_\tau f \in L^2_\tau(\Gamma)\}$$

with its norm

$$\|f\|_{\hat{\mathbf{H}}_\Gamma(\text{curl}, \Omega)} := \left(\|f\|_{L^2(\Omega)}^2 + \|\text{curl } f\|_{L^2(\Omega)}^2 + \|\pi_\tau f\|_{L^2_\tau(\Gamma)}^2 \right)^{1/2}.$$

For $\Gamma = \partial\Omega$ we will just write $\hat{\mathbf{H}}(\text{curl}, \Omega)$ instead of $\hat{\mathbf{H}}_{\partial\Omega}(\text{curl}, \Omega)$.

Definition 4.4. Let Ω be a Lipschitz domain and $\Gamma \subseteq \partial\Omega$ open (in $\partial\Omega$). Then we define the space

$$\mathring{\mathbf{H}}_\Gamma(\text{curl}, \Omega) = \{f \in \hat{\mathbf{H}}_\Gamma(\text{curl}, \Omega) \mid \pi_\tau^\Gamma f = 0\}.$$

For $\Gamma = \partial\Omega$ we will just write $\mathring{\mathbf{H}}(\text{curl}, \Omega)$ instead of $\mathring{\mathbf{H}}_{\partial\Omega}(\text{curl}, \Omega)$.

In [BPS16, Thm. 4.5] it is shown that $\mathring{C}^\infty_\Gamma(\Omega)$ is dense in $\mathring{\mathbf{H}}_\Gamma(\text{curl}, \Omega)$ w.r.t. $\|\cdot\|_{\mathbf{H}(\text{curl}, \Omega)}$, i.e.,

$$\mathring{\mathbf{H}}_\Gamma(\text{curl}, \Omega) = \overline{\mathring{C}^\infty_\Gamma(\Omega)}^{\mathbf{H}(\text{curl}, \Omega)}$$

Hence, for homogeneous tangential traces there is already a version of the desired density result.

Note that the hat on top of the \mathbf{H} indicates partial L^2 boundary conditions and the circle on top indicates partial homogeneous boundary conditions.

Remark 4.5. We can immediately see

$$\mathring{\mathbf{H}}_\Gamma(\text{curl}, \Omega) \subseteq \hat{\mathbf{H}}_\Gamma(\text{curl}, \Omega).$$

Since $\pi_\tau f \in L^2_\tau(\Gamma)$ is equivalent to $\gamma_\tau f \in L^2_\tau(\Gamma)$ we have

$$\hat{\mathbf{H}}_\Gamma(\text{curl}, \Omega) = \{f \in \mathbf{H}(\text{curl}, \Omega) \mid \gamma_\tau f \in L^2_\tau(\Gamma)\},$$

Since $\pi_\tau f = \gamma_\tau f \times \nu$, we have $\|\pi_\tau f\|_{L^2_\tau(\Gamma)} = \|\gamma_\tau f\|_{L^2_\tau(\Gamma)}$ and

$$\|f\|_{\hat{\mathbf{H}}_\Gamma(\text{curl}, \Omega)} = \left(\|f\|_{L^2(\Omega)}^2 + \|\text{curl } f\|_{L^2(\Omega)}^2 + \|\gamma_\tau f\|_{L^2_\tau(\Gamma)}^2 \right)^{1/2}.$$

Remark 4.6. Since we use representation in an inner product, one can say that we have defined $\hat{\mathbf{H}}_\Gamma(\text{curl}, \Omega)$ weakly. Another approach could have been to regard $\overline{\mathring{C}^\infty(\mathbb{R}^3)}^{\hat{\mathbf{H}}_\Gamma(\text{curl}, \Omega)}$, which could be called a strong approach. From this perspective the result we are going to show basically tells us that the weak and the strong approach to $\mathbf{H}(\text{curl}, \Omega)$ fields that possess a $L^2_\tau(\Gamma)$ tangential trace coincide.

From now on we assume that (Ω, Γ_0) is a Lipschitz pair. Recall the decomposition (1):

$$\mathring{H}_{\Gamma_0}(\text{curl}, \Omega) = \mathring{H}_{\Gamma_0}^1(\Omega) + \nabla \mathring{H}_{\Gamma_0}^1(\Omega).$$

Note that every element in $\mathring{H}_{\Gamma_0}^1(\Omega)$ is already in $\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)$. Moreover, by [BPS16, Lmm. 3.1] $\mathring{C}_{\Gamma_0}^\infty(\mathbb{R}^3)$ is dense in $\mathring{H}_{\Gamma_0}^1(\Omega)$ w.r.t. $\|\cdot\|_{\mathring{H}^1(\Omega)}$ and therefore also w.r.t. $\|\cdot\|_{\hat{H}(\text{curl}, \Omega)}$.

Hence, it is left to show that every

$$f \in \nabla \mathring{H}_{\Gamma_0}^1(\Omega) \cap \hat{H}(\text{curl}, \Omega)$$

can be approximated by a $\mathring{C}_{\Gamma_0}^\infty(\mathbb{R}^3)$ function (w.r.t. $\|\cdot\|_{\hat{H}(\text{curl}, \Omega)}$).

The following result is basically [Skr23a, Thm. 4.2].

Lemma 4.7. *Let $f \in \mathring{H}_{\Gamma_0}^1(\Omega)$ such that $\nabla f \in \hat{H}(\text{curl}, \Omega)$ (in particular $\pi_\tau \nabla f \in L^2_\tau(\partial\Omega)$). Then $\pi_\tau \nabla f = \nabla_\tau f|_{\partial\Omega}$ and $f \in W(\Omega) \cap \mathring{H}_{\Gamma_0}^1(\Omega)$.*

Proof. Since $\nabla f \in \hat{H}(\text{curl}, \Omega)$, we know that $\pi_\tau \nabla f \in L^2(\partial\Omega)$ which implies $f|_{\partial\Omega} \in H^1(\partial\Omega)$ and $\nabla_\tau f|_{\partial\Omega} = \pi_\tau \nabla f$, see [Skr23a, Thm. 4.2]. Therefore, we conclude $f \in W(\Omega)$. \square

This brings us to our first main theorem.

Theorem 4.8. *$\mathring{C}_{\Gamma_0}^\infty(\mathbb{R}^3)$ is dense in $\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)$ w.r.t. $\|\cdot\|_{\hat{H}(\text{curl}, \Omega)}$.*

Proof. Let $f \in \hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)$ be arbitrary. Then we can decompose f into $f = f_1 + f_2$, where $f_1 \in \mathring{H}_{\Gamma_0}^1(\Omega)$ and $f_2 \in \hat{H}(\text{curl}, \Omega) \cap \nabla \mathring{H}_{\Gamma_0}^1(\Omega)$.

By [BPS16, Lmm. 3.1] f_1 can be approximated by $\mathring{C}_{\Gamma_0}^\infty(\mathbb{R}^3)$ functions w.r.t. $\|\cdot\|_{\mathring{H}^1(\Omega)}$ and therefore also w.r.t. $\|\cdot\|_{\hat{H}(\text{curl}, \Omega)}$.

By Lemma 4.7 we know that $f_2 \in W(\Omega) \cap \mathring{H}_{\Gamma_0}^1(\Omega)$. Hence, we can apply Theorem 3.3 and obtain a sequence $(f_{2,n})_{n \in \mathbb{N}}$ that converges to f_2 w.r.t. $\|\cdot\|_{W(\Omega)}$. This gives

$$\begin{aligned} & \|\nabla f_2 - \nabla f_{2,n}\|_{\hat{H}(\text{curl}, \Omega)}^2 \\ &= \|\nabla(f_2 - f_{2,n})\|_{L^2(\Omega)}^2 + \underbrace{\|\text{curl} \nabla(f_2 - f_{2,n})\|_{L^2(\Omega)}^2}_{=0} + \|\pi_\tau \nabla(f_2 - f_{2,n})\|_{L^2(\partial\Omega)}^2 \\ &\leq \|f_2 - f_{2,n}\|_{\mathring{H}^1(\Omega)}^2 + \|f_2|_{\partial\Omega} - f_{2,n}|_{\partial\Omega}\|_{H^1(\partial\Omega)}^2 \\ &= \|f_2 - f_{2,n}\|_{W(\Omega)}^2 \rightarrow 0, \end{aligned}$$

which finishes the proof. \square

5. DENSITY RESULT WITHOUT HOMOGENEOUS PART

Since we already know that $\mathring{C}_{\Gamma_0}^\infty(\mathbb{R}^3)$ is dense in $\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)$, we can show the density of $\mathring{C}^\infty(\mathbb{R}^3)$ in $\hat{H}_{\Gamma_1}(\text{curl}, \Omega)$ by a duality argument, which we will present in this section. This argument can be done in just a few lines within the notion of quasi Gelfand triples [Skr23b]. However, in order to stay relatively elementary we extract the essence and build a proof that avoids the introduction of this notion.

Basically we mimic the abstract boundary space for the tangential trace by $\mathring{H}(\text{curl}, \Omega)^\perp$, which can also be viewed as the boundary space as it is isometrically isomorphic.

Our standing assumption in this section is that (Ω, Γ_0) is Lipschitz pair and $\Gamma_1 := \partial\Omega \setminus \overline{\Gamma_0}$.

Corollary 5.1. *If $f \in \hat{H}_{\Gamma_1}(\text{curl}, \Omega)$, then*

$$\langle \gamma_\tau f, \pi_\tau g \rangle_{L^2(\Gamma_1)} = \langle \text{curl } f, g \rangle_{L^2(\Omega)} - \langle f, \text{curl } g \rangle_{L^2(\Omega)}$$

for all $g \in \hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$.

Proof. For $f \in \hat{H}_{\Gamma_1}(\text{curl}, \Omega)$ we have by definition

$$\langle \gamma_\tau f, \pi_\tau g \rangle_{L^2(\Gamma_1)} = \langle \text{curl } f, g \rangle_{L^2(\Omega)} - \langle f, \text{curl } g \rangle_{L^2(\Omega)}$$

for all $g \in \dot{C}_0^\infty(\mathbb{R}^3)$. Since this equation is continuous in g w.r.t. $\|\cdot\|_{\hat{H}(\text{curl}, \Omega)}$, we can extend it by continuity to $g \in \overline{\dot{C}_0^\infty(\mathbb{R}^3)}^{\hat{H}(\text{curl}, \Omega)}$ and by Theorem 4.8 to $g \in \hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$. \square

Lemma 5.2. *We have the following identity*

$$\hat{H}(\text{curl}, \Omega)^\perp = \{f \in \mathbf{H}(\text{curl}, \Omega) \mid \text{curl } \text{curl } f = -f\},$$

where the orthogonal complement is taken in $\mathbf{H}(\text{curl}, \Omega)$, i.e., w.r.t. $\langle \cdot, \cdot \rangle_{\mathbf{H}(\text{curl}, \Omega)}$. Moreover, curl leaves the space $\hat{H}(\text{curl}, \Omega)^\perp$ invariant.

Proof. Note that by density of $\dot{C}_0^\infty(\Omega)$ in $\hat{H}(\text{curl}, \Omega)$ both spaces have the same orthogonal complement. Hence,

$$\begin{aligned} f \in \hat{H}(\text{curl}, \Omega)^\perp &\Leftrightarrow 0 = \langle f, g \rangle_{L^2(\Omega)} + \langle \text{curl } f, \text{curl } g \rangle_{L^2(\Omega)} \quad \forall g \in \dot{C}_0^\infty(\Omega) \\ &\Leftrightarrow \text{curl } f \in \mathbf{H}(\text{curl}, \Omega) \quad \text{and} \quad \text{curl } \text{curl } f = -f. \end{aligned} \quad \square$$

Lemma 5.3. *Let P the orthogonal projection on $\hat{H}(\text{curl}, \Omega)^\perp$ (in $\mathbf{H}(\text{curl}, \Omega)$). Then $\hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$ is invariant under P , i.e., $f \in \hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$ implies $Pf \in \hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$.*

Proof. Since $I - P$ is the orthogonal projection on $\hat{H}(\text{curl}, \Omega)$ and $\hat{H}(\text{curl}, \Omega)$ is a subspace of $\hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$, we conclude that $(I - P)f \in \hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$ for every $f \in \mathbf{H}(\text{curl}, \Omega)$. Now for every $f \in \hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$ we have

$$Pf = f - (I - P)f,$$

which is in $\hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$, since $\hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$ is a subspace. \square

Lemma 5.4. *For every $q \in \pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega))$ there exists a $g \in \hat{H}(\text{curl}, \Omega)^\perp$ such that*

$$\text{curl } g \in \hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega) \cap \hat{H}(\text{curl}, \Omega)^\perp \quad \text{and} \quad \pi_\tau \text{curl } g = q.$$

In particular,

$$\pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)) = \pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega) \cap \hat{H}(\text{curl}, \Omega)^\perp).$$

Proof. By assumption we have $q = \pi_\tau f$ for $f \in \hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$. Let P denote the orthogonal projection on $\hat{H}(\text{curl}, \Omega)^\perp$. Then by Lemma 5.3 we can decompose f into $f = Pf + (I - P)f$, where both Pf and $(I - P)f$ are also in $\hat{H}(\text{curl}, \Omega) \cap \hat{H}_{\Gamma_0}(\text{curl}, \Omega)$. Moreover, $(I - P)f \in \hat{H}(\text{curl}, \Omega)$, which gives $\pi_\tau(I - P)f = 0$ and therefore

$$q = \pi_\tau f = \pi_\tau Pf.$$

Since $Pf \in \hat{H}(\text{curl}, \Omega)^\perp$, we have $\text{curl } \text{curl } Pf = -Pf$. Thus defining $g = -\text{curl } Pf$ finishes the proof. \square

Now we finally come to our second main theorem.

Theorem 5.5. *$\dot{C}_0^\infty(\mathbb{R}^3)$ is dense in $\hat{H}_{\Gamma_1}(\text{curl}, \Omega)$ w.r.t. $\|\cdot\|_{\hat{H}_{\Gamma_1}(\text{curl}, \Omega)}$.*

Proof. By the definition of the norm of $\hat{H}_{\Gamma_1}(\text{curl}, \Omega)$ the mapping $\gamma_\tau: \hat{H}_{\Gamma_1}(\text{curl}, \Omega) \subseteq \mathbf{H}(\text{curl}, \Omega) \rightarrow L^2_\tau(\Gamma_1)$ is closed. We define the following restriction of γ_τ

$$\hat{\gamma}_\tau: \begin{cases} \mathring{C}^\infty(\mathbb{R}^3) \subseteq \mathbf{H}(\text{curl}, \Omega) & \rightarrow L^2_\tau(\Gamma_1), \\ f & \mapsto \gamma_\tau f. \end{cases}$$

Since $\hat{\gamma}_\tau \subseteq \gamma_\tau$ we conclude

$$\hat{\gamma}_\tau^* \supseteq \gamma_\tau^*.$$

1. Step: Calculate $\text{dom } \hat{\gamma}_\tau^*$. Let $q \in \text{dom } \hat{\gamma}_\tau^*$. Then there exists a $g \in \mathbf{H}(\text{curl}, \Omega)$ such that

$$\langle \hat{\gamma}_\tau f, q \rangle_{L^2(\Gamma_1)} = \langle f, g \rangle_{\mathbf{H}(\text{curl}, \Omega)} = \langle f, g \rangle_{L^2(\Omega)} + \langle \text{curl } f, \text{curl } g \rangle_{L^2(\Omega)} \quad (2)$$

for all $f \in \mathring{C}^\infty(\mathbb{R}^3)$. For $f \in \mathring{C}^\infty_{\Gamma_1}(\mathbb{R}^3)$, we obtain

$$0 = \langle f, g \rangle_{L^2(\Omega)} + \langle \text{curl } f, \text{curl } g \rangle_{L^2(\Omega)},$$

which implies $\text{curl } g \in \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)$ and $\text{curl } \text{curl } g = -g$, and by Lemma 5.2 $g \in \mathring{H}(\text{curl}, \Omega)^\perp$. Hence, we revisit (2), where we extend q by 0 outside of Γ_1 in $\partial\Omega$

$$\langle \hat{\gamma}_\tau f, q \rangle_{L^2(\partial\Omega)} = -\langle f, \text{curl } \text{curl } g \rangle_{L^2(\Omega)} + \langle \text{curl } f, \text{curl } g \rangle_{L^2(\Omega)}$$

for all $f \in \mathring{C}^\infty(\mathbb{R}^3)$, which implies $\text{curl } g \in \hat{H}(\text{curl}, \Omega)$ and $q = \pi_\tau \text{curl } g$. Consequently,

$$\begin{aligned} \text{dom } \hat{\gamma}_\tau^* &\subseteq \pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega) \cap \mathring{H}(\text{curl}, \Omega)^\perp) \\ &= \pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)). \end{aligned}$$

2. Step: Calculate $\text{dom } \gamma_\tau^*$. If $q \in \pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega))$, then by Lemma 5.4 there exists a $g \in \mathring{H}(\text{curl}, \Omega)^\perp$ such that $\text{curl } g \in \hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)$ and $\pi_\tau \text{curl } g = q$. Hence, by Corollary 5.1 for $f \in \hat{H}_{\Gamma_1}(\text{curl}, \Omega)$ and $\text{curl } g$ we have

$$\langle \gamma_\tau f, \underbrace{\gamma_\tau \text{curl } g}_{=q} \rangle_{L^2(\Gamma_1)} = \langle \text{curl } f, \text{curl } g \rangle_{L^2(\Omega)} - \langle f, \underbrace{\text{curl } \text{curl } g}_{=-g} \rangle_{L^2(\Omega)} = \langle f, g \rangle_{\mathbf{H}(\text{curl}, \Omega)},$$

which implies $q \in \text{dom } \gamma_\tau^*$. Consequently,

$$\text{dom } \gamma_\tau^* \supseteq \pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)).$$

3. Step: Combining the results of the previous steps yields

$$\begin{aligned} \pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)) &\supseteq \text{dom } \hat{\gamma}_\tau^* \\ &\supseteq \text{dom } \gamma_\tau^* \supseteq \pi_\tau(\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)). \end{aligned}$$

Hence, $\hat{\gamma}_\tau^* = \gamma_\tau^*$ and therefore

$$\overline{\hat{\gamma}_\tau} = \hat{\gamma}_\tau^{**} = \gamma_\tau^{**} = \gamma_\tau,$$

which implies $\mathring{C}^\infty(\mathbb{R}^3)$ is dense in $\hat{H}_{\Gamma_1}(\text{curl}, \Omega)$ w.r.t. the graph norm of γ_τ with is $\|\cdot\|_{\hat{H}_{\Gamma_1}(\text{curl}, \Omega)}$. \square

6. CONCLUSION

We have defined $\mathbf{H}(\text{curl}, \Omega)$ fields that possess an L^2 tangential trace on $\Gamma_1 \subseteq \partial\Omega$ via a weak approach (by representation in the $L^2(\Gamma_1)$ inner product) and showed that the C^∞ fields are dense in this space. This is a generalization of [BBBCD97], where the case $\Gamma_1 = \partial\Omega$ was regarded. In fact for partial tangential traces there is the second question about the density with additional homogeneous boundary conditions on $\Gamma_0 = \partial\Omega \setminus \overline{\Gamma_1}$. This was exactly the open problem in [WS13, Sec. 5], which we could solve. In particular they were asking whether $H_{\Gamma_0}^1(\Omega)$ is dense in $\hat{H}(\text{curl}, \Omega) \cap \mathring{H}_{\Gamma_0}(\text{curl}, \Omega)$, which is in fact a weaker version of Theorem 4.8.

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